

# TCP/IP SMTP User Exits Support APAR PQ14324

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# Contents

Background	1
The SMTP Envelope	1
General Exit Information	3
Client Verification Exit	4
Mail Forwarding Exit	4
SMTP Commands Exit	5
SourceRoutes	5
Client Verification Exit	7
Built-in Client Verification Function	7
VERIFYCI JENT Statement	8
SMSG SMTP VERIFYCLIENT	9
	10
REXX Parameter List	
	12
	12
	۲2
	13
Client Verification Sample Exits	15
Mail Forwarding Exit	
FORWARDMAIL Statement	
SMSG SMTP FORWARDMAIL	
Mail Forwarding Exit Parameter Lists	
REXX Parameter List	
Inputs	
Outputs	
ASSEMBLER Parameter List	
Parameter Descriptions	21
Mail Forwarding Exit Return Codes	23
Mail Forwarding Sample Exits	24
SMTP Commands Exit	25
SMTPCMDS Statement	
SMSG SMTP SMTPCMDS	
SMTP Commands Exit Parameter Lists	30
REXX Parameter List	31
Inputs	31
Outputs	31
ASSEMBLER Parameter List	32
Parameter Descriptions	
SMTP Commands Exit Return Codes	34
Sample SMTP Commands Exits	35
Source Routes	36
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# Background

As the use of e-mail has increased among the internet community, incidents related to the misuse of e-mail and the abuse of e-mail systems have also risen. Likewise, discussions about how to address and resolve problems associated with such misuse have increased. Some more prominent e-mail abuse problems include spamming, spoofing, and unwanted mail forwarding. In all these cases, the resources of your company or institution may be used by others without your permission. The SMTP exits described in this document are designed to address some of these problems by allowing you greater control over each piece of mail that's processed by the SMTP server.

To understand why you might choose to use one or more of these exits, and determine which ones will best address the particular problems at your installation, explanations for some common e-mail terms are included here:

- Spamming Spamming is the act of sending mail to a large number of e-mail addressees and is often compared to the term "junk mail" used to describe similar activities performed via postal services. *Spam* is a piece of mail that is perceived by its recipient(s) to be unsolicited and unwanted.
- Spoofing Spoofing is an act performed by a client sending mail. The client connects to a mail transfer agent (the SMTP server is one example) and falsifies the information it's required to provide. Spoofing is usually done in order to cause mail to appear to have come from somewhere else.
- Mail Forwarding Mail forwarding is the process of receiving and sending on mail that is directed to your mail server but is ultimately destined to somewhere other than your own site; this is a normal and accepted practice in many cases. Mail forwarding becomes a problem when the originating site "bounces" its mail off an intermediate site so that the destination site does not reject that mail, because mail from the originating site is not desired.
- Source Routing Source Routing is a particular type of mail forwarding. In this case, the specified mail recipient path includes a mailbox and a list of hosts. The list of hosts is the *route*, or the information about how to get to the final destination. Mail is passed from one host in this list to the next, until it is delivered to the intended recipient.

For example, suppose a host receives a message with the SMTP command:

RCPT TO:<@ALPHA,@BETA:joe@GAMMA>

where ALPHA and BETA are the list of hosts, and joe@GAMMA is the mailbox. The mail will be sent to ALPHA, then to BETA, and finally to joe@GAMMA.

In the past, this technique was often necessary to accomplish mail delivery, and some institutions may still need to use source routing because they have not migrated to using more current practices. However, source routing is now *strongly* discouraged by many "experts" among the internet community, especially as mail processing technologies have improved over time.

## The SMTP Envelope

To better understand the parameters associated with the SMTP exits and how the exits work, it's necessary to understand SMTP transactions.

Mail is sent by a series of request/response transactions between a client, the "sender-SMTP," and a server, the "receiver-SMTP." These transactions pass (1) the message proper, which is composed of a header and body (these are separated, by definition, by the first blank line present in the message), and

(2) SMTP commands, referred to as the "envelope." These commands contain additional information, including the host sending the mail, and the source and destination addresses for the piece of mail.

The SMTP envelope is constructed at the "sender-SMTP" site. If this is the originating site, the information is typically provided by a mail user agent (such as the VM NOTE command) when the message is first queued to the "sender-SMTP" client or program. Each intermediate site receives the piece of mail and resends it on to the next site using an envelope that it creates. Thus, the content of the new envelope may not be the same as the one received from a given site.

The envelope addresses may be derived from information in the message header, supplied by the user interface or derived from local configuration information.

The envelope contains the HELO, MAIL FROM:, RCPT TO:, DATA, "dot" (ASCII period) and QUIT commands. Other commands can optionally appear in the envelope, and some commands can be repeated. Also, more than one piece of mail can be sent using one envelope.

Descriptions of the HELO, MAIL FROM:, RCPT TO:, DATA, "dot" and QUIT commands follow:

►►—HELO—domain\_name—

The *domain\_name* identifies the domain name of the sending host; it may be specified as either:

- a domain name
- an IP address in decimal integer form that is prefixed by the number or (US) pound sign ("#" or X'7B'0)
- an IP address in dotted-decimal form, enclosed in brackets.

►►—MAIL FROM:—<—sender\_path\_address—>—

The *sender\_path\_address* is the full path address of the sender of the mail; it can be specified using any valid path format.

►►—RCPT TO:—<—recipient\_path\_address—>—

The *recipient\_path\_address* is the full path address of the sender of the mail; it can be specified using any valid path format. Any number of RCPT TO: commands can be specified to designate multiple recipients.

►►—DATA—

The DATA command has no parameters. Information that follows the DATA command is construed to be the message text (the header and body of a message).

**>>**---

The "dot" command is a single ASCII period (.) on a line by itself. It is used to terminate or signify the end of the message text. There are no parameters for this command.

-4

▶∢

►►—QUIT-

The QUIT command has no parameters; it is used to terminate an SMTP connection.

Definitions for "valid path format" specifications can be obtained from the RFCs that define the naming conventions used throughout the Internet. Because both these specifications and even the applicable RFCs are subject to change, they are not be described here. For detailed information about this topic, its suggested you begin with RFC 821, *Simple Mail Transfer Protocol*, and RFC 822, *Standard for the Format of ARPA Internet Text Messages*, which are the basis for modern naming specifications associated with the SMTP protocol.

The following is an example of an SMTP envelope and the contained piece of sent mail. The SMTP commands are in capitalized, bold-faced text. The information after the DATA command and before the single ASCII period (the "dot" command) is the message proper — the header and body. The body is separated from the header by the blank line that follows the "Subject: Update on the Bunch" line.

```
HELO yourname
MAIL FROM: <carol@yourname>
RCPT TO: <msgs@rsch.our.edu>
RCPT TO: <alice@ai.our.edu>
DATA
Date: Thur, 27 Aug 92 21:48:57 EST
From: Carol <carol@yourname>
      <msqs@rsch.our.edu>
To:
Cc:
      <alice@ai.our.edu>
Subject: Update on the Bunch
Mike -- Cindy stubbed her toe. Bobby went to baseball camp. Marsha
        made the cheerleading team. Jan got glasses. Peter has an
        identity crisis. Greg made dates with 3 girls and couldn't
        remember their names. Bye!
QUIT
```

## **General Exit Information**

The SMTP user exits are comprised of: the client verification exit, the mail forwarding exit and the SMTP commands exit. Sample assembler and REXX exec exit routines are supplied for each exit. An identical exit parameter list definition is used for all three of these exits; thus, all parameters may not be meaningful for all exits. EBCDIC data is supplied for all exit "text" fields.

Assembler exits are loaded into memory, while the REXX exits are EXECLOADed. When deciding which type of exit to use, it's important to consider the performance aspects of the exit, because the exit will "block" the SMTP server. The assembler exit will have better performance characteristics than the REXX exit.

To allow you to make changes to an exit without shutting down the SMTP server, commands are available to reload the exits while SMTP is running. However, be certain the disks where your exits reside are re-accessed by the SMTP server before an exit is reloaded in this manner. You can create a CMS immediate command to access disks.

## **Client Verification Exit**

The client verification exit can be used to verify the host providing mail is as claimed on the HELO command, and to include the result of that verification in mail headers. The exit can be tailored to perform the verification or it can be used enable or disable the built-in client verification function.

This exit can be used to help deal with *spoofing* problems. When a client connects to SMTP, the originating mail domain must be provided. In spoofing, the client provides a falsified domain in order to cause mail to appear to have come from someone else. By using this exit, you can verify the client's domain matches the client's IP address. The exit allows flexibility on the action you take for spoofing; you can choose to include the verification results in mail headers or you can reject future communications on this connection. The exit can be further tailored to perform additional actions that are unique or required for your environment.

In addition to the client verification exit, YES and NO parameters exist that can be used to turn on (or off) client verification. If the YES parameter is used, the built-in client verification function is called for each HELO processed for each mail item.

## **Mail Forwarding Exit**

When SMTP clients use the VM SMTP server to send mail to hosts their workstations cannot reach directly, this is an instance of *mail forwarding*. The mail forwarding exit provides a mechanism to control mail forwarding. When SMTP determines the addressee specified on a RCPT TO: record is not *defined on* the local system, it has detected mail forwarding, and it will call the exit routine.

The phrase "*defined on*" in the previous paragraph is used because a user is defined as being a local user (in addition to any other criteria) if that user is defined in the SMTP NAMES file, regardless of whether delivery to that user is via the network or via spool. Also, keep in mind that the determination of whether mail forwarding is occurring is on a recipient by recipient basis, not on the basis of a piece of mail. A piece of mail with multiple recipients can contain occurrences of both mail forwarding and local delivery.

The mail forwarding exit allows flexibility on the action you take when mail forwarding is detected. You can choose to continue to deliver the mail, reject delivery to the specified user, intercept the mail, or reject future communications on this connection. The exit can be further tailored to perform additional actions that are unique or required for your environment.

This exit can also be used to control *spamming*. There are two aspects to consider when trying to control spamming problems:

- Is your system being used to relay spam messages to recipients throughout the internet?
- · Are incoming spam messages to your local users seriously taxing or overloading your system?

The relaying of spam messages can be treated like any other type of mail forwarding. The exit can be set up to prevent delivery of all forwarded mail, to prevent delivery of mail from particular sites known for spamming, or to only allow delivery of mail from particular trusted sites. Handling spam messages directed to your local users will require the use of the SMTP commands exit. When you address spamming problems, it's important to realize that one person may consider a piece of mail to be a spam, while the same piece of mail may be valuable to someone else. There are no explicit rules that determine what is and is not spam.

In addition to the mail forwarding exit, YES and NO parameters exist that can be used to turn on (or off) **all** mail forwarding. If mail forwarding is disabled via the NO parameter and SMTP determines the recipient specified on a RCPT TO: record is not defined on the local system, it has detected mail forwarding, and it will reject the delivery of the mail to that recipient.

## **SMTP Commands Exit**

In addition to the more specific client verification and mail forwarding exit functions, the SMTP server can be set up to call an exit routine whenever certain SMTP commands are received by the server. This capability is provided through the SMTP commands exit; the definition of this exit allows it to be called for any or all of the following commands:

- HELO (the SMTP 'HELO' command)
- MAIL (the SMTP 'MAIL FROM:' command)
- RCPT (the SMTP 'RCPT TO:' command)
- DATA (the SMTP 'DATA' command)
- EOD (the end of data condition, which occurs when a period is received at the server following the data)
- VRFY (the SMTP 'VRFY' command)
- EXPN (the SMTP 'EXPN' command)
- RSET (the SMTP 'RSET' command)
- PUNCH (the point in time when the server is about to deliver mail to a local destination on the same node or RSCS network).

The SMTP commands exit could be used for a wide variety of reasons; several possible uses are included here:

- to disable a particular command by always causing it to be rejected. For example, the exit can be set up to reject the VRFY and EXPN commands.
- to allow a user exit to handle the delivery of local mail. For example, the exit can be set up to deliver local mail to users' OfficeVision "In-Basket"s instead of to their CMS readers.
- to screen the body of the mail for a particular word or phrase and reject the mail. For example, the exit can be set up to reject mail with offensive language.
- to help control incoming spam messages directed to your local users, or to handle spam messages that may be seriously taxing or overloading your system. For example, the exit can be set up to prevent delivery of mail from particular sites known for spamming, or to only allow delivery of mail from particular trusted sites.

## **SourceRoutes**

Support for a new SMTP configuration file statement, SOURCEROUTES, has been added to address a particular type of mail forwarding called *source routing*. Source routing is the practice of providing a list of specific hosts that mail is to be passed to until it reaches its intended recipient. Before the use of name server MX (mail exchange) records became widespread, it was often necessary to provide a list of such hosts in order to deliver one's mail. Today, the need to specify these routing hops during mail delivery is minimal, and this practice is strongly discouraged. Some internet hosts, however, make use of source routing in order to circumvent processing that other hosts may be using to limit their activities.

This new statement gives you the ability to disable source routing. If source routing is disabled and a source route is encountered, the list of hosts will be ignored, and the mail will be delivered directly to the destination mailbox.

For example, suppose a host receives a message with the SMTP command:

RCPT TO:<@ALPHA,@BETA:joe@GAMMA>

where ALPHA and BETA are the list of hosts, and joe@GAMMA is the mailbox. When source routing is disabled, the mail will be sent directly to host GAMMA, using:

RCPT T0:<joe@GAMMA>

# **Client Verification Exit**

It is sometimes desirable to provide some indication that the host sending or forwarding a piece of mail corresponds to the IP address assigned to the client host. The client verification exit can be used to determine if a client host name and IP address match, and to include the result of that determination in the mail headers. The VERIFYCLIENT statement in the SMTP configuration file allows you to specify whether an exit will be called, or if verification will be done via the built-in client verification function.

When used, the client verification exit is called for each HELO command processed for each mail item received from the network; client verification is not performed for mail items received from the SMTP reader.

The changes associated with this exit add the following configuration statements, commands, and samples:

- VERIFYCLIENT statement for the SMTP configuration file (SMTP CONFIG)
- SMSG VERIFYCLIENT command
- REXX and assembler sample exits.

This exit can be used to perform the following function, plus others you may deem useful in your environment:

- Reject mail from a particular host.
- Mark certain trusted sites as verified, but perform verification on all others.
- Control which users use a particular SMTP server.

## **Built-in Client Verification Function**

The built-in client verification function of the SMTP server can be used to determine if a client host name and client IP address match, and to include the result of that determination in the mail headers. This function will perform a DNS lookup against client-provided HELO command data, and will then insert a message into the mail header that reflects the result of this lookup.

Client verification performed using the built-in function has three possible outcomes:

**Success** The data the client provided in the HELO command corresponds to the client address. The following line is inserted into the mail header:

X-Comment: localhost: Mail was sent by host

**Failure** The data the client provided in the HELO command is not associated with the client IP address. In this case, a reverse name lookup is done against the client IP address to determine the actual host name. The following line is inserted into the mail header:

X-Comment: localhost: Host host claimed to be helodata

**Unknown** The validation could not be performed. This situation could occur if the name server is not responding, or the verification could not be performed in the allotted time (as controlled by the VERIFYCLIENTDELAY statement). The following line is inserted into the mail header:

X-Warning: *localhost*: Could not confirm that host [*ipaddr*] is *helodata* 

The terms used in the previously listed mail header messages are described in more detail here:

*localhost* the local VM host name

helodata the data the client provided with the HELO command

*host* the host name determined by the reverse lookup; if a host name is not found, "unknown host" will be used

*ipaddr* the client IP address.

## VERIFYCLIENT Statement

## Purpose

The VERIFYCLIENT statement is used to indicate whether or not client verification is to be performed. Client verification can be performed using the built-in client verification function (VERIFYCLIENT YES), or using a user exit (VERIFYCLIENT EXIT).



## Operands

### NO

Indicates that no client verification is to be performed; this is the default.

### YES

Indicates verification of the client name specified on the HELO command is to be performed using the built-in client verification function.

## EXIT

Indicates a client verification exit routine is being defined.

### exitname

Indicates the name of the exit routine associated with this command; the default exit name is **SMTPVERX**.

### EXEC

Indicates the exit routine name specified on this command is the name of an EXEC; this is the default.

### TEXT

Indicates the exit routine name specified on this command is the name of a text deck.

### ON

Indicates the specified exit (being defined with this command) is to be enabled (turned on).

### OFF

Indicates the specified exit (being defined with this command) is to be disabled (turned off).

## Examples

• The SMTP configuration file entry that follows will enable the client verification exit routine SMTPVERX EXEC; this exit will perform all verification.

```
VerifyClient
exit smtpverx exec on
EndVerifyClient
```

When this entry is processed, the following text is displayed during server initialization:

Client Verification : Exit SMTPVERX EXEC ON

 This next entry defines the client verification exit routine SMTPVERX TEXT, but disables its use once SMTP server initialization is complete. Thus, client verification will not occur.

```
VerifyClient
exit smtpverx text off
EndVerifyClient
```

When this entry is processed, the following text is displayed during server initialization:

```
Client Verification : No (Exit SMTPVERX TEXT OFF)
```

## SMSG SMTP VERIFYCLIENT

## Purpose

The VERIFYCLIENT command is used to indicate whether or not client verification is to be performed, and to query VERIFYCLIENT settings. Client verification can be performed using the built-in client verification function (VERIFYCLIENT YES), or using a user exit (VERIFYCLIENT EXIT).

►►—SMSG— <i>server_id</i> —VERIFYclient—NO		→1
	SMTPVERX EXEC	
	exitname EXEC OFF	
– EXI – QUE	RELOAD	

## **Operands**

#### server\_id

Specifies the user ID of an SMTP server virtual machine.

NO

Indicates that no client verification is to be performed.

## YES

Indicates verification of the client name specified on the HELO command is to be performed using the built-in client verification function.

#### EXIT

Indicates a client verification exit routine is being enabled (turned on) or disabled (turned off) by this command.

#### exitname

Indicates the name of the exit routine associated with this command; the default exit name is **SMTPVERX**.

#### EXEC

Indicates the exit routine name specified on this command is the name of an EXEC; this is the default.

### TEXT

Indicates the exit routine name specified on this command is the name of a text deck.

ON

Indicates the specified exit (being defined with this command) is to be enabled (turned on).

#### OFF

Indicates the specified exit (being defined with this command) is to be disabled (turned off).

#### RELOAD

Forces the exit routine to be re-loaded the next time it is executed. If the exit routine is a REXX exec, it will be EXECLOADed into storage the next time it is executed.

### QUERY

Returns current VERIFYCLIENT settings; the returned response indicates whether client verification is enabled (on) or disabled (off). If an exit has been defined, the name of the exit is included in the response. Sample responses for several settings are shown in Table 1.

Table 1. Client Verification Exit - Sample Queries		
Client Verification Setting	Response from Query	
Built-in function ON	* From SMTP: VERIFYCLIENT is set to YES	
Built-in function OFF	* From SMTP: VERIFYCLIENT is set to NO	
Exit SMTPVERX TEXT enabled	* From SMTP: VERIFYCLIENT exit SMTPVERX TEXT ON	
Exit SMTPVERX TEXT disabled	* From SMTP: VERIFYCLIENT is set to NO (Exit SMTPVERX TEXT OFF)	

## **Examples**

• The command that follows will enable the client verification exit routine SMTPVERX EXEC; client verification will be performed by this exit routine.

smsg smtp verifyclient exit smtpverx exec on

The following response is displayed:

- \* From SMTP: VERIFYCLIENT exit SMTPVERX EXEC ON
- This next command will disable all client verification; no client verification is performed.

#### smsg smtp verifyclient no

The following response is displayed:

```
* From SMTP: VERIFYCLIENT is set to NO
```

• This last command will query the current client verification setting.

#### smsg smtp verifyclient query

The following response is displayed:

\* From SMTP: VERIFYCLIENT is set to NO (Exit SMTPVERX EXEC OFF)

## **Client Verification Exit Parameter Lists**

Sample assembler and REXX exec exit routines are supplied for the client verification exit. The assembler exit will have better performance characteristics than the REXX exit.

The parameter lists passed to the REXX and the assembler exit routines follow. When you tailor either of these exits, keep in mind the following:

- Because an identical exit parameter list definition is used for all three exits the client verification exit, the mail forwarding exit and the SMTP commands exit, all parameters may not be meaningful for this exit. Those not used by this exit are indicated in the parameter lists; their values should be ignored.
- For the REXX exit, the value of an unused parameter will be such that any token-wise parsing will not be affected.

Parameter descriptions that pertain to both the REXX and assembler exits are provided on page 13.

# **REXX Parameter List**

## Inputs

Table 2. Clie	. Client Verification REXX EXit Parameter List			
Argument	Description			
ARG(1)	Parameter list defined as follows:			
	<ul> <li>Exit type</li> <li>Version number</li> <li>Mail record ID</li> <li>Port number of SMTP server</li> <li>IP address of SMTP server</li> <li>Port number of client</li> <li>IP address of client</li> <li>I*'</li> <li>Verify Client status</li> <li>Maximum length of Return String</li> </ul>			
ARG(2)	SMTP command string			
ARG(3)	HELO name			
ARG(4)	Not used			
ARG(5)	Not used			
ARG(6)	Not used			

## Table 2. Client Verification REXX Exit Parameter List

## **Outputs**

The following are returned to the caller in the RESULT variable via a REXX RETURN statement:

RC	Return String

### Argument Description

RC The exit return code; this must be a 4 byte numeric value.

Return String An exit-specified string; the returned value must have a length less than or equal to the maximum length passed to the exit.

## **ASSEMBLER Parameter List**

Note: General Register 1 points to the parameter list.

Table 3.	Client Ve	erificaiton ASS	SEMBLER Exi	it Parameter List
Offset in Decimal	Len	In/Out	Туре	Description
+0	4	Input	Char	Exit type
+4	4	Input	Int	Version number
+8	4	Input	Int	Mail record ID
+12	4	Input	Int	Port number of SMTP server
+16	4	Input	Int	IP address of SMTP server
+20	4	Input	Int	Port number of client
+24	4	Input	Int	IP address of client
+28	4	Input	Int	Address of SMTP command string
+32	4	Input	Int	Length of SMTP command string
+36	4	Input	Int	Address of HELO name
+40	4	Input	Int	Length of HELO name
+44	24			Not used
+68	4	Input	Int	Verify Client status
+72	4	Output	Int	Address of Return String
+76	4	Output	Int	Length of Return String
+80	4	Input	Int	Maximum length of Return String
+84	8			Not used
+92	4	Input/ Output	Char	User Word 1
+96	4	Input/ Output	Char	User Word 2
+100	4	Output	Int	Return code from exit

## **Parameter Descriptions**

#### Exit type

A four-character field used to indicate the type of exit called. For the client verification exit, this will be **VERX**.

#### Version number

The parameter list version number; if the parameter list format is changed, the version number will change. Your exit should verify it has received the version number it is expecting. The current version number is **1**.

### Mail record ID

A number that uniquely identifies a piece of mail so that multiple exit calls can be correlated to the same piece of mail. A value of **0** indicates a mail record ID is not available.

#### Port number of SMTP server

The port number used by the SMTP server for this connection.

#### IP address of SMTP server

For the REXX exit, a dotted-decimal format IP address is provided; for the assembler exit, this is an IP address in decimal integer form. For multi-homed hosts, this address can be compared with the client IP address to determine in which part of the network the client host resides.

#### Port number of client

If the connection no longer exists, **-1** is supplied. Otherwise, this is the port number used by the foreign host for this connection.

#### IP address of client

For the REXX exit, a dotted-decimal format IP address is provided; for the assembler exit, this is an IP address in decimal integer form.

#### Verify Client status

A number that indicates client verification results. For this exit, client verification results are unknown when the exit receives control; thus, this field will contain a **3**. Possible values and their meaning are:

- 0 Client verification passed.
- 1 Client verification failed.
- 2 Client verification was not performed.
- 3 Client verification results are unknown.

#### SMTP command string

Contains the HELO command and the domain specified on the HELO command. The string has been converted to uppercase (for example, "HELO DOMAIN1").

#### **HELO** name

A string that contains the name specified on the HELO command; this string may be either:

- a domain name
- an IP address in decimal integer form that is prefixed by the number or (US) pound sign ("#" or X'7B'0)
- an IP address in dotted-decimal form, enclosed in brackets.

For example, if the command HEL0 #123456 is provided by an SMTP client, this parameter would contain #123456.

The name has already been verified to have the correct syntax.

#### User Word 1

Provided for use by the assembler exit only. The user word specified upon return from this exit will be passed back in this field for any future calls; **0** is the initial value. The SMTP server does not use this value in any way.

#### User Word 2

Provided for use by the assembler exit only. The user word specified upon return from this exit will be passed back in this field for any future calls; **0** is the initial value. The SMTP server does not use this value in any way.

#### **Return String**

When the exit returns a return code of 3, this value is appended to the 'X-Comment' that is inserted in the mail header. When the exit returns a return code of 5, the *Return String* value is appended to the 550 reply code.

#### Maximum length of Return String

The current maximum is 512 bytes; ensure the *Return String* length is less than this value. If the returned string is longer than the indicated maximum, the return string is truncated and the following message is displayed on the SMTP sever console:

Return data from exit exitname exittype too long, data truncated

Normal processing continues.

## **Client Verification Exit Return Codes**

Table 4. Client Verification Exit Return Codes

Return Code	Explanation
0	Do not verify client. A comment will not be inserted in the mail header.
1	Perform verification using the built-in client verification function.
2	Mark as verified. The following comment will be inserted in the mail header:
	X-Comment: <i>localhost</i> : Mail was sent by <i>host</i>
3	The following comment will be inserted in the mail header:
	X-Comment: Return String
	where the value for Return String can be specified by the exit.
4	Disable the exit. The following message will be displayed on the SMTP console:
	VERIFYCLIENT EXIT function disabled
	The exit will no longer be called. The client will not be verified and no comment will be inserted in the mail header.
5	Reject this command with: 550 Return String
	If a return string is not provided by the exit, then the default message will be displayed:
	550 Access denied
	All future communications on this connection will be rejected with this 550 message.
x	Any return code other than the above causes SMTP to issue this message:
	Unexpected return from user exit <i>exitname exittype</i> , RC = <i>rc</i>
	SMTP treats this return code as if it were a return code of 0.

# **Client Verification Sample Exits**

Sample exit routines are supplied with the APAR; the supplied samples are:

SMTPVERX SEXEC REXX exit routine

SMTPVERX ASSEMBLE assembler exit routine

# **Mail Forwarding Exit**

When SMTP clients use the VM SMTP server to send mail to hosts their workstations cannot reach directly, this is an instance of *mail forwarding*. The mail forwarding exit provides a mechanism to control mail forwarding. When SMTP determines the addressee specified on a RCPT TO: command is not *defined on* the local system, it has detected mail forwarding, and it will call this exit routine.

The phrase "*defined on*" in the previous paragraph is used because a user is defined as being a local user (in addition to any other criteria) if that user is defined in the SMTP NAMES file, regardless of whether delivery to that user is via SPOOL or the network. Also, keep in mind that the determination of whether mail forwarding is occurring is on a recipient by recipient basis, not on the basis of a piece of mail. A piece of mail with multiple recipients can contain occurrences of both mail forwarding and local delivery.

**Note:** The mail forwarding exit is only called for mail items received from the network; it is not called for mail items generated on the VM system or received via RSCS.

The changes associated with this exit add the following configuration statements, commands, and samples:

- FORWARDMAIL statement for the SMTP configuration file (SMTP CONFIG)
- SMSG FORWARDMAIL command
- REXX and assembler sample exits.

This exit can be used to perform the following function, plus others you may deem useful in your environment:

- Disallow mail forwarding from a known sender of "junk" mail.
- Intercept mail from specific clients and forward that mail to a local VM user ID for further analysis.
- Restrict the ability to forward mail to a particular set of hosts.

## **FORWARDMAIL Statement**

## **Purpose**

The FORWARDMAIL statement is used to enable or disable mail forwarding, or to identify a user exit to be used to control mail forwarding.

FORWARDMA	IL YES ENDFORWARDMAIL
FORWARDMA	ILENDFORWARDMAILENDFORWARDMAIL

## Operands

### YES

Indicates mail forwarding is to be performed; this is the default.

NO

Indicates that no mail forwarding is to be performed. When SMTP determines a mail recipient is not on the local system, the RCPT TO: command will be rejected.

### EXIT

Indicates a mail forwarding exit routine is being defined.

### exitname

Indicates the name of the exit routine associated with this command; the default exit name is **SMTPFWDX**.

### EXEC

Indicates the exit routine name specified on this command is the name of an EXEC; this is the default.

### TEXT

Indicates the exit routine name specified on this command is the name of a text deck.

ON

Indicates the specified exit (being defined with this command) is to be enabled (turned on).

OFF

Indicates the specified exit (being defined with this command) is to be disabled (turned off).

## **Examples**

• The SMTP configuration file entry that follows will enable the mail forwarding exit routine SMTPFWDX EXEC.

```
ForwardMail
exit smtpfwdx exec on
EndForwardMail
```

When this entry is processed, the following text is displayed during server initialization:

Forward Mail : Exit SMTPFWDX EXEC ON

• This next entry defines the client verification exit routine SMTPFWDX TEXT, but disables its use once SMTP server initialization is complete. Thus, mail forwarding will be allowed and performed.

```
ForwardMail
exit smtpfwdx text off
EndForwardMail
```

When this entry is processed, the following text is displayed during server initialization:

Forward Mail : Yes (Exit SMTPFWDX TEXT OFF)

## SMSG SMTP FORWARDMAIL

## Purpose

The FORWARDMAIL command is used to enable or disable mail forwarding, or to identify a user exit to be used to control such activity, and to query FORWARDMAIL settings.

► SMSG—server_id—FORWARDmail—_YES	·	ı
	SMTPFWDX_EXECON	
-EATI-		
-EXIT- QUER	T—RELOAD	

## Operands

### server\_id

Specifies the user ID of an SMTP server virtual machine.

### YES

Indicates mail forwarding is to be performed.

### NO

Indicates that no mail forwarding is to be performed. When SMTP determines a mail recipient is not on the local system, the RCPT TO: command will be rejected.

### EXIT

Indicates a mail forwarding exit routine is being enabled (turned on) or disabled (turned off) by this command.

#### exitname

Indicates the name of the exit routine associated with this command; the default exit name is **SMTPFWDX**.

### EXEC

Indicates the exit routine name specified on this command is the name of an EXEC; this is the default.

### TEXT

Indicates the exit routine name specified on this command is the name of a text deck.

### ON

Indicates the specified exit (being defined with this command) is to be enabled (turned on).

### OFF

Indicates the specified exit (being defined with this command) is to be disabled (turned off).

### RELOAD

Forces the exit routine to be re-loaded the next time it is executed. If the exit routine is a REXX exec, it will be EXECLOADed into storage the next time it is executed.

### QUERY

Returns current FORWARDMAIL settings; the returned response indicates whether mail forwarding is enabled or disabled. If an exit has been defined, the name of the exit is included in the response. Sample responses for several settings are shown in Table 5 on page 19.

Table 5. Mail Forwarding Exit - Sample Queries		
Mail Forwarding Setting	Response from Query	
Mail Forwarding ON	* From SMTP: FORWARDMAIL is set to YES	
Mail Forwarding OFF	* From SMTP: FORWARDMAIL is set to NO	
Exit SMTPFWDX TEXT enabled	* From SMTP: FORWARDMAIL exit SMTPFWDX TEXT ON	
Exit SMTPFWDX TEXT disabled	* From SMTP: FORWARDMAIL is set to YES (Exit SMTPFWDX TEXT OFF)	

## **Examples**

• The command that follows will enable the mail forwarding exit routine SMTPFWDX EXEC.

smsg smtp forwardmail exit smtpfwdx exec on

The following response is displayed:

- \* From SMTP: FORWARDMAIL exit SMTPFWDX EXEC ON
- This next command will enable The following command will enable mail forwarding, and at the same time disable any mail forwarding exit that is currently in use.

#### smsg smtp forwardmail yes

The following response is displayed:

- \* From SMTP: FORWARDMAIL is set to YES
- This last command will query the current mail forwarding setting.

#### smsg smtp forwardmail query

The following response is displayed:

\* From SMTP: FORWARDMAIL is set to YES (Exit SMTPFWDX EXEC OFF)

## Mail Forwarding Exit Parameter Lists

Sample assembler and REXX exec exit routines are supplied for the mail forwarding exit. The assembler exit will have better performance characteristics than the REXX exit.

The parameter lists passed to the REXX and the assembler exit routines follow. When you tailor either of these exits, keep in mind the following:

- Because an identical exit parameter list definition is used for all three exits the client verification
  exit, the mail forwarding exit and the SMTP commands exit, all parameters may not be meaningful for
  this exit. Those not used by this exit are indicated in the parameter lists; their values should be
  ignored.
- For the REXX exit, the value of an unused parameter will be such that any token-wise parsing will not be affected.

Parameter descriptions that pertain to both the REXX and assembler exits are provided on page 21.

# **REXX Parameter List**

## Inputs

TADIE 0. Mai				
Argument	Description			
ARG(1)	Parameter list defined as follows:			
	<ul> <li>Exit type</li> <li>Version number</li> <li>Mail record ID</li> <li>Port number of SMTP server</li> <li>IP address of SMTP server</li> <li>Port number of client</li> <li>IP address of client</li> <li>IP address of client</li> <li>Filename of note on disk</li> <li>Verify Client status</li> <li>Maximum length of Return String</li> </ul>			
ARG(2)	HELO name			
ARG(4)	MAIL FROM string			
ARG(5)	Client domain name			
ARG(6)	Not used			

## Table 6. Mail Forwarding REXX Exit Parameter List

## Outputs

The following are returned to the caller in the RESULT variable via a REXX RETURN statement:

Return String

### Argument Description

RC The exit return code; this must be a 4 byte numeric value.

Return String An exit-specified string; the returned value must have a length less than or equal to the maximum length passed to the exit.

## **ASSEMBLER Parameter List**

Note: General Register 1 points to the parameter list.

Table 7. I	Fable 7. Mail Forwarding ASSEMBLER Exit Parameter List.						
Offset in Decimal	Len	In/Out	Туре	Description			
+0	4	Input	Char	Exit type			
+4	4	Input	Int	Version number			
+8	4	Input	Int	Mail record ID			
+12	4	Input	Int	Port number of SMTP server			
+16	4	Input	Int	IP address of SMTP server			
+20	4	Input	Int	Port number of client			
+24	4	Input	Int	IP address of client			
+28	4	Input	Int	Address of SMTP command string			
+32	4	Input	Int	Length of SMTP command string			
+36	4	Input	Int	Address of HELO name			
+40	4	Input	Int	Length of HELO name			
+44	4	Input	Int	Address of client domain name			
+48	4	Input	Int	Length of client domain name			
+52	4	Input	Int	Address of MAIL FROM string			
+56	4	Input	Int	Length of MAIL FROM string			
+60	8	Input	Char	File name of note on disk			
+68	4	Input	Int	Verify Client status			
+72	4	Output	Int	Address of Return String			
+76	4	Output	Int	Length of Return String			
+80	4	Input	Int	Maximum length of Return String			
+84	8			Not used			
+92	4	Input/ Output	Char	User Word 1			
+96	4	Input/ Output	Char	User Word 2			
+100	4	Output	Int	Return code from exit			

## **Parameter Descriptions**

#### Exit type

A four-character field used to indicate the type of exit called. For the mail forwarding exit, this will be **FWDX**.

#### Version number

The parameter list version number; if the parameter list format is changed, the version number will change. Your exit should verify it has received the version number it is expecting. The current version number is **1**.

#### Mail record ID

A number that uniquely identifies a piece of mail so that multiple exit calls can be correlated to the same piece of mail. A value of **0** indicates a mail record ID is not available.

#### Port number of SMTP server

The port number used by the SMTP server for this connection.

#### IP address of SMTP server

For the REXX exit, a dotted-decimal format IP address is provided; for the assembler exit, this is an IP address in decimal integer form. For multi-homed hosts, this address can be compared with the client IP address to determine in which part of the network the client host resides.

#### Port number of client

If the connection no longer exists, **-1** is supplied. Otherwise, this is the port number used by the foreign host for this connection.

#### **IP** address of client

For the REXX exit, a dotted-decimal format IP address is provided; for the assembler exit, this is an IP address in decimal integer form.

#### Verify Client status

A number that indicates client verification results. Possible values and their meaning are:

- 0 Client verification passed.
- 1 Client verification failed.
- 2 Client verification was not performed.
- 3 Client verification results are unknown.

#### SMTP command string

Contains the name specified on the RCPT TO: command. The recipient path, enclosed in angle brackets (< and >), is included. The recipient path may be in any valid path format; it has already been verified to have the correct syntax. Because the recipient address has been resolved, this string may not exactly match the data provided with the RCPT TO: command.

For example, if the following has been specified by the SMTP client:

RCPT TO: <usera@host1>

the SMTP command string might contain: <usera@host1.com>

#### HELO name

A string that contains the name specified on the HELO command; this string may be either:

- a domain name
- an IP address in decimal integer form that is prefixed by the number or (US) pound sign ("#" or X'7B'0)
- an IP address in dotted-decimal form, enclosed in brackets.

For example, if the command HEL0 #123456 is provided by an SMTP client, this parameter would contain: #123456.

The name has already been verified to have the correct syntax.

#### MAIL FROM string

Contains the name specified on the MAIL FROM command. The sender path, enclosed in angle brackets (< and >), is included. The sender path may be in any valid path format; it has already been verified to have the correct syntax. Because the sender address has been resolved, this string may not exactly match the data provided with the MAIL FROM command.

For example, if the following has been specified by the SMTP client:

MAIL FROM: <userb@host2>

the SMTP command string might contain: <userb@host2.com>

#### **Client domain name**

The domain name that corresponds to the client IP address. This field will be a null string if:

- · client verification was not performed
- · the results of client verification are unknown
- a reverse lookup failed

In all other cases, this will be a domain name.

#### User Word 1

Provided for use by the assembler exit only. The user word specified upon return from this exit will be passed back in this field for any future calls; **0** is the initial value. The SMTP server does not use this value in any way.

#### **User Word 2**

Provided for use by the assembler exit only. The user word specified upon return from this exit will be passed back in this field for any future calls; **0** is the initial value. The SMTP server does not use this value in any way.

### **Return String**

When the exit returns a return code of 1 or 5, this value is appended to the 551 or 550 reply code. When the exit returns a return code of 2, the *Return String* value should contain a VM user ID to which mail should be transferred.

### Maximum length of Return String

The current maximum is 512 bytes; ensure the *Return String* length is less than this value. If the returned string is longer than the indicated maximum, the return string is truncated and the following message is displayed on the SMTP sever console:

Return data from exit exitname exittype too long, data truncated

Normal processing continues.

## Mail Forwarding Exit Return Codes

Table 8.	Mail Forwarding Exit Return Codes
Return Code	Explanation
0	Accept and attempt mail delivery.
1	Reject mail with: 551 Return String
	If a return string is not provided by the exit, the following default message will be used:
	551 User not local; please try user@otherhost
	If the server has already responded to the command, this return code will result in error mail being sent back to the sender.
2	Accept and forward to the local VM user ID specified by <i>Return String</i> . If the VM user ID is null or is not valid, the mail will be delivered to the local postmaster; the mail will not be delivered to the addressee.
4	Disable the exit. The following message will be displayed on the SMTP console:
	FORWARD MAIL EXIT function disabled
	The exit will no longer be called. SMTP will attempt to deliver this mail.
5	Reject this command with: 550 Return String
	If a return string is not provided by the exit, then the default message will be displayed:
	550 Access denied
	All future communications on this connection will be rejected with this 550 message.
x	Any return code other than the above causes SMTP to issue this message:
	Unexpected return from user exit <i>exitname exittype</i> , RC = <i>rc</i>
	SMTP treats this return code as if it were a return code of 0.

# Mail Forwarding Sample Exits

Sample exit routines are supplied with the APAR; the supplied samples are:

SMTPFWDX SEXEC REXX exit routine

SMTPFWDX ASSEMBLE assembler exit routine

# **SMTP Commands Exit**

The SMTP server can be configured to call an exit routine whenever certain SMTP commands are received, through use of the SMTP commands exit. This exit can be defined such that is invoked for any or all the commands that follow.

### Notes:

- 1. The person responsible for creating or maintaining programs that exploit this capability should be knowledgeable of the protocol(s) related to the SMTP commands that are processed using this exit.
- 2. Only one SMTP commands exit can be active at a time.

HELO The SMTP 'HELO' command.

MAIL The SMTP 'MAIL FROM:' command.

**RCPT** The SMTP 'RCPT TO:' command.

DATA The SMTP 'DATA' command.

**EOD** The end of data condition. This occurs when a period is received by the server, usually after all data has been transmitted.

VRFY The SMTP 'VRFY ' command.

EXPN The SMTP 'EXPN ' command.

RSET The SMTP 'RSET ' command.

**PUNCH** The point in time when the server is about to deliver mail to a local destination on the same node or RSCS network; this command is unique to the VM TCP/IP SMTP server.

The changes associated with this exit add the following configuration statements, commands, and samples:

- SMTPCMDS statement for the SMTP configuration file (SMTP CONFIG)
- SMSG SMTPCMDS command
- REXX and assembler sample exits.

This exit can be used to perform the following function, plus others you may deem useful in your environment:

- Reject particular commands; for example, you may not want your server to support the VRFY and EXPN commands.
- Handle the delivery of local mail.
- Reject mail that contains offensive language, or fails to meet other criteria defined by your installation.

## **SMTPCMDS** Statement

## Purpose

The SMTPCMDS statement is used to define the characteristics of the SMTP commands user exit and to indicate whether or not the exit is to be enabled (turned on) or disabled (turned off) when the server completes initialization.



## Operands

### exitname

Indicates the name of the exit routine associated with this command; the default exit name is **SMTPCMDX**.

### EXEC

Indicates the exit routine name specified on this command is the name of an EXEC; this is the default.

### TEXT

Indicates the exit routine name specified on this command is the name of a text deck.

### FOR

Precedes the exact list of commands for which the exit is being defined.

## ADD

Precedes the list of commands that are to be added to this exit definition.

### DELETE

Precedes the list of commands that are to be deleted from this exit definition.

### HELO

Indicates the exit routine is to be turned on or off for the HELO command.

### MAIL

Indicates the exit routine is to be turned on or off for the MAIL FROM: command.

### RCPT

Indicates the exit routine is to be turned on or off for the RCPT TO: command.

### DATA

Indicates the exit routine is to be turned on or off for the DATA command.

### EOD

Indicates the exit routine is to be turned on or off when the "end of data" condition is reached; that is, when a period (.) is received by the SMTP server after a DATA command.

### VRFY

Indicates the exit routine is to be turned on or off for the VRFY command.

### EXPN

Indicates the exit routine is to be turned on or off for the EXPN command.

#### RSET

Indicates the exit routine is to be turned on or off for the RSET command.

### PUNCH

Indicates the exit routine is to be turned on or off for PUNCH processing. If the exit is enabled for this condition, it will be called when SMTP is ready to punch local mail (mail on the same node or RSCS netowrk) to its destination.

### ALL

Indicates the exit routine is to be turned on or off for all SMTP commands for which user exit capability is provided.

### ON

Indicates the specified exit is being enabled (turned on) for a particular command or set of commands.

OFF

Indicates the specified exit is being disabled (turned off) for a particular command or set of commands.

## Examples

• The SMTP configuration file entry that follows will enable the SMTP commands exit routine SMTPCMDX EXEC; exit processing will be performed for only the VRFY and EXPN commands.

```
SmtpCmds
    exit smtpcmdx exec for vrfy expn on
EndSmtpCmds
```

When this entry is processed, the following text is displayed during server initialization:

```
SMTP Commands Exit : SMTPCMDX EXEC ON
```

• This next entry defines the SMTP commands exit routine MYEXIT TEXT for only the HELO command, but disables its use once SMTP server initialization is complete.

SmtpCmds
 exit myexit text for helo off
EndSmtpCmds

When this entry is processed, the following text is displayed during server initialization:

SMTP	Commands	Exit	:	MYEXIT	TEXT	0FF
– de	efined for	<b>`</b>	:	HELO		

## SMSG SMTP SMTPCMDS

## Purpose

The SMTPCMDS command is used to define the characteristics of the SMTP commands user exit, to query those characteristics, and to indicate whether or not the exit is to be called by setting it on or off.



## Operands

### server\_id

Specifies the user ID of an SMTP server virtual machine.

### exitname

Indicates the name of the exit routine associated with this command; the default exit name is **SMTPCMDX**.

### EXEC

Indicates the exit routine name specified on this command is the name of an EXEC; this is the default.

### TEXT

Indicates the exit routine name specified on this command is the name of a text deck.

### FOR

Precedes the exact list of commands for which the exit is being defined.

## ADD

Precedes the list of commands which are to be added to this exit definition.

### DELETE

Precedes the list of commands which are to be deleted from this exit definition.

### HELO

Indicates the exit routine is to be turned on or off for the HELO command.

### MAIL

Indicates the exit routine is to be turned on or off for the MAIL FROM: command.

### RCPT

Indicates the exit routine is to be turned on or off for the RCPT TO: command.

## DATA

Indicates the exit routine is to be turned on or off for the DATA command.

### EOD

Indicates the exit routine is to be turned on or off when the "end of data" condition is reached; that is, when a period (.) is received by the SMTP server after a DATA command.

#### VRFY

Indicates the exit routine is to be turned on or off for the VRFY command.

#### EXPN

Indicates the exit routine is to be turned on or off for the EXPN command.

#### RSET

Indicates the exit routine is to be turned on or off for the RSET command.

### PUNCH

Indicates the exit routine is to be turned on or off for PUNCH processing. If the exit is enabled for this condition, it will be called when SMTP is ready to punch local mail (mail on the same node or RSCS netowrk) to its destination.

### ALL

Indicates the exit routine is to be turned on or off for all SMTP commands for which user exit capability is provided.

### ON

Indicates the specified exit is being enabled (turned on) for a particular command or set of commands.

#### OFF

Indicates the specified exit is being disabled (turned off) for a particular command or set of commands.

### RELOAD

Forces the exit routine to be re-loaded the next time it is executed. If the exit routine is a REXX exec, it will be EXECLOADed into storage the next time it is executed.

### QUERY

Returns current SMTPCMDS exit settings. The returned response indicates whether the command exit is enabled or disabled. If an exit has been defined, the name of the exit is included in the response. The commands associated with the current exit state are indicated as well. Sample responses for several settings are shown in Table 9.

Table 9. SMTP Commands Exit - Sample Queries				
SMTP Commands Exit Setting	Response from Query			
Exit not defined	* From SMTP: SMTPCMDS exit not defined			
Exit SMTPCMDX EXEC enabled for HELO, VRFY, and EXPN	<ul> <li>* From SMTP: SMTPCMDS exit SMTPCMDX EXEC ON</li> <li>* From SMTP: SMTPCMDX defined for HELO VRFY EXPN</li> </ul>			
Exit SMTPCMDX EXEC disabled	<ul><li>* From SMTP: SMTPCMDS exit SMTPCMDX EXEC OFF</li><li>* From SMTP: SMTPCMDX defined for HELO VRFY EXPN</li></ul>			

## **Examples**

 The command that follows will enable the SMTP commands exit routine SMTPCMDX EXEC for the SMTP HELO command:

smsg smtp smtpcmds exit for helo

The following response is displayed:

- \* From SMTP: SMTPCMDS exit SMTPCMDX EXEC ON
- \* From SMTP: SMTPCMDX defined for HELO
- The next command will add the SMTP commands VRFY and EXPN to the previous exit definition:

smsg smtp smtpcmds exit add vrfy expn

The following response is displayed:

- \* From SMTP: SMTPCMDS exit SMTPCMDX EXEC ON
- \* From SMTP: SMTPCMDX defined for HELO VRFY EXPN
- · Lastly, the command that follows will disable the SMTP commands exit:

#### smsg smtp smtpcmds exit off

The following response is displayed:

- \* From SMTP: SMTPCMDS exit SMTPCMDX EXEC OFF
- \* From SMTP: SMTPCMDX defined for HELO VRFY EXPN

## **SMTP Commands Exit Parameter Lists**

Sample assembler and REXX exec exit routines are supplied for the client verification exit. The assembler exit will have better performance characteristics than the REXX exit.

The parameter lists passed to the REXX and the assembler exit routines follow. When you tailor either of these exits, keep in mind the following:

- Because an identical exit parameter list definition is used for all three exits the client verification exit, the mail forwarding exit and the SMTP commands exit, all parameters may not be meaningful for this exit. Those not used by this exit are indicated in the parameter lists; their values should be ignored.
- For the REXX exit, the value of an unused parameter will be such that any token-wise parsing will not be affected.

Parameter descriptions that pertain to both the REXX and assembler exits are provided on page 32.

# **REXX Parameter List**

## Inputs

Table 10. SMTP (	Commands REXX Exit Parameter List
Argument [	Description
ARG(1) F	Parameter list defined as follows:
ARG(2) S ARG(3) H ARG(4) M ARG(5) C ARG(6) E	<ul> <li>Exit type</li> <li>Version number</li> <li>Mail record ID</li> <li>Port number of SMTP server</li> <li>IP address of SMTP server</li> <li>Port number of client</li> <li>IP address of client</li> <li>IP address of client</li> <li>Verify Client status</li> <li>Maximum length of Return String</li> <li>SMTP command string</li> <li>HELO name</li> <li>MAIL FROM string</li> <li>Client domain name</li> <li>Batch VM user ID</li> </ul>

## **Outputs**

The following are returned to the caller in the RESULT variable via a REXX RETURN statement:

RC	Return String

### Argument Description

RC The exit return code; this must be a 4 byte numeric value.

Return String An exit-specified string; the returned value must have a length less than or equal to the maximum length passed to the exit.

## **ASSEMBLER Parameter List**

Note: General Register 1 points to the parameter list.

Table 11.	SMTP Commands ASSEMBLER Exit Parameter List				
Offset in Decimal	Len	In/Out	Туре	Description	
+0	4	Input	Char	Exit type	
+4	4	Input	Int	Version number	
+8	4	Input	Int	Mail record ID	
+12	4	Input	Int	Port number of SMTP server	
+16	4	Input	Int	IP address of SMTP server	
+20	4	Input	Int	Port number of client	
+24	4	Input	Int	IP address of client	
+28	4	Input	Int	Address of SMTP command string	
+32	4	Input	Int	Length of SMTP command string	
+36	4	Input	Int	Address of HELO name	
+40	4	Input	Int	Length of HELO name	
+44	4	Input	Int	Address of client domain name	
+48	4	Input	Int	Length of client domain name	
+52	4	Input	Int	Address of MAIL FROM string	
+56	4	Input	Int	Length of MAIL FROM string	
+60	8	Input	Char	File name of note on disk	
+68	4	Input	Int	Verify Client status	
+72	4	Output	Int	Address of Return String	
+76	4	Output	Int	Length of Return String	
+80	4	Input	Int	Maximum length of Return String	
+84	8	Input	Char	Batch VM user ID	
+92	4	Input/ Output	Char	User Word 1	
+96	4	Input/ Output	Char	User Word 2	
+100	4	Output	Int	Return code from exit	

## **Parameter Descriptions**

#### Exit type

A four-character field used to indicate the type of exit called. For the SMTP commands exit, this will be **CMDX**.

#### Version number

The parameter list version number; if the parameter list format is changed, the version number will change. Your exit should verify it has received the version number it is expecting. The current version number is **1**.

#### Mail record ID

A number that uniquely identifies a piece of mail so that multiple exit calls can be correlated to the same piece of mail. A value of **0** indicates a mail record ID is not available.

#### Port number of SMTP server

The port number used by the SMTP server for this connection.

#### IP address of SMTP server

For the REXX exit, a dotted-decimal format IP address is provided; for the assembler exit, this is an IP address in decimal integer form. For multi-homed hosts, this address can be compared with the client IP address to determine in which part of the network the client host resides.

#### Port number of client

If the connection no longer exists, or if the command was received over a batch (BSMTP) connection, -1 is supplied. Otherwise, this is the port number used by the foreign host for this connection.

#### IP address of client

For the REXX exit, a dotted-decimal format IP address is provided; for the assembler exit, this is an IP address in decimal integer form. If the relevant SMTP command was received over a batch SMTP (BSMTP) connection, this field will be **0**.

#### File name of note on disk

Name of the file created after the EOD command or a period ('.') is received. Prior to either of these conditions, the file name is not defined; in this case, an asterisk (\*) will be supplied.

#### **Verify Client status**

A number that indicates client verification results. Possible values and their meaning are:

- 0 Client verification passed.
- 1 Client verification failed.
- 2 Client verification was not performed.
- 3 Client verification results are unknown.

#### SMTP command string

Contains the current command and parameters; the string has been converted to uppercase. For example, if this exit was called for the MAIL FROM: command, this string might contain: MAIL FROM: <USERA@MYDOMAIN>.

#### **HELO** name

A string that contains the name specified on the HELO command; this string may be either:

- a domain name
- an IP address in decimal integer form that is prefixed by the number or (US) pound sign ("#" or X'7B'0)
- an IP address in dotted-decimal form, enclosed in brackets.

For example, if the command HEL0 #123456 is provided by an SMTP client, this parameter would contain: #123456.

The name has already been verified to have the correct syntax.

#### MAIL FROM string

Contains the name specified on the MAIL FROM command. The sender path, enclosed in angle brackets (< and >), is included. The sender path may be in any valid path format; it has already been verified to have the correct syntax. Because the sender address has been resolved, this string may not exactly match the data provided with the MAIL FROM command.

For example, if the following has been specified by the SMTP client:

MAIL FROM: <userb@host2>

the SMTP command string might contain: <userb@host2.com>

#### **Client domain name**

The domain name that corresponds to the client IP address. This field will be a null string if:

- · client verification was not performed
- the results of client verification are unknown
- a reverse lookup failed

In all other cases, this will be a domain name.

#### Batch VM user ID

This field is only used when SMTP commands arrive over a batch SMTP (BSMTP) connection. If this exit is called for batch SMTP connections, this field will contain the VM User ID that originated the mail. Otherwise, this field is not defined and will contain a null string.

#### User Word 1

Provided for use by the assembler exit only. The user word specified upon return from this exit will be passed back in this field for any future calls; **0** is the initial value. The SMTP server does not use this value in any way.

#### User Word 2

Provided for use by the assembler exit only. The user word specified upon return from this exit will be passed back in this field for any future calls; **0** is the initial value. The SMTP server does not use this value in any way.

### **Return String**

When the exit returns a return code of 1 or 5, this value is appended to the 550 reply code.

#### Maximum length of Return String

The current maximum is 512 bytes; ensure the *Return String* length is less than this value. If the returned string is longer than the indicated maximum, the return string is truncated and the following message is displayed on the SMTP sever console:

Return data from exit exitname exittype too long, data truncated

Normal processing continues.

## **SMTP Commands Exit Return Codes**

Table 12. SMTP Commands Exit Return Codes

Return Code	Explanation
0	Accept command, and continue normal processing.
1	Reject mail with: 551 Return String
	If a return string is not provided by the exit, the following default message will be used:
	550 Command Rejected
	This return code is valid for only the PUNCH command; if <b>1</b> is retuned for a PUNCH command exit call, it will be handled as an invalid exit return code.
2	The PUNCH command has been handled by the exit routine; therefore, bypass file delivery. This return code is valid for only the PUNCH command; if <b>1</b> is retuned for a PUNCH command exit call, it will be handled as an invalid exit return code.
4	Disable the exit. The following message will be displayed on the SMTP console:
	SMTPCMDS EXIT function disabled
	The exit will no longer be called. The command will be attempted, and processing will continue.
5	Reject this command with: 550 Return String
	If a return string is not provided by the exit, then the default message will be displayed:
	550 Access denied
	All future communications on this connection will be rejected with this 550 message. This return code is valid for only the PUNCH command; if <b>1</b> is retuned for a PUNCH command exit call, it will be handled as an invalid exit return code.
x	Any return code other than the above causes SMTP to issue this message:
	Unexpected return from user exit <i>exitname exittype</i> , RC = <i>rc</i>
	SMTP treats this return code as if it were a return code of 0.

# Sample SMTP Commands Exits

Sample exit routines are supplied with the APAR; the supplied samples are:

SMTPCMDX SEXEC REXX exit routine

SMTPCMDX ASSEMBLE assembler exit routine

# **Source Routes**

## **SOURCEROUTES Statement**

## Purpose

The SOURCEROUTES statement specifies whether the SMTP server should honor source routes.

A source route is a path that contains a routing list of hosts and a destination mailbox. The list of hosts is the *route* — information about how to the mail is to arrive at its final destination; the mail is passed from one host in this list to the next until it is delivered to the intended recipient.

The specification that follows is an example of a **source route**:

<@HOST1,@HOST2,@HOST3:USER@HOST4>

The list of hosts is HOST1, HOST2 and HOST3, and the destination is USER@HOST4. When source routes are honored the mail will be sent to HOST1, then to HOST2, then to HOST3 and finally to USER@HOST4. When source routes are not honored, mail is sent directly to USER@HOST4; the list of hosts is ignored.

 SOURCEROUTES YES	
SOURCEROUTES NO-	•

## **Operands**

YES

Indicates that source routes received from clients will be honored when forwarding mail. For the previous sample source route, SMTP will send the mail to HOST1 for further processing by HOST1. This is the default.

Note: In a future release, the default will be changed to NO.

NO

Indicates that source routing is not to be honored; any host list will be ignored and only the destination host will be used. The mail recipient(s) will not see the host list that was ignored.

For the previous sample source route, SMTP will send the mail directly to USER@HOST4; the HOST1, HOST2 and HOST3 hosts will be ignored.

Note: Mail containing source routes will not be rejected.

## SMSG SMTP SOURCEROUTES

## **Purpose**

The SOURCEROUTES command is used to specify whether the SMTP server should honor source routes, and to query the SOURCEROUTES setting. For more information about source routes, see the description of the "SOURCEROUTES Statement"

SMSG-server id-SOURCEROUTES-YES-	
—N0———	
L-OUERY-	
· ·	

## Operands

### server\_id

Specifies the user ID of an SMTP server virtual machine.

YES

Indicates that source routes received from clients will be honored when forwarding mail. For example, for the sample source route on page 36, SMTP will send the mail to HOST1 for further processing by HOST1.

### NO

Indicates that source routing is not to be honored; any host list will be ignored and only the destination host will be used. The mail recipient(s) will not see the host list that was ignored. For the sample source route on page 36, SMTP will send the mail directly to USER@HOST4; HOST1, HOST2, and HOST3 will be ignored.

Note: Mail containing source routes will not be rejected.

### QUERY

Displays the current SOURCEROUTES setting. The returned response indicates whether or not source routes will be honored.

## **Examples**

• The command that follows will disable source routes. Source routes will not be honored and will be ignored.

smsg smtp sourceroutes no.

The following response is displayed:

\* From SMTP: SourceRoutes NO